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# Division of Disability & Rehabilitative Services

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## ***SFY 2012 Quarterly Financial Review - March 2012***

Shane Spotts, DDRS Director

May 11th, 2012

# DDRS Initiatives SFY 2012

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DDRS has been focusing on five core objectives throughout the current fiscal year:

- Employment of Individuals with DD/ID
- Systematic Redesign
- VR Streamlining and Process Improvement
- BQIS Provider Oversight
- First Steps Initiatives

# DDRS Financial Summary '000

	YTD Actual	YTD Budget	Variance	Forecast SFY 12	Budget SFY12	Variance
<b>Medicaid</b>						
Waivers	361,595	371,948	▼ 3%	482,205	500,860	18,656
ICF/MR	214,647	217,637	▼ 1%	286,195	293,268	7,073
State Plan Services/ PCCM Admin Fees	61,206	59,838	▲ -2%	81,505	81,155	-350
<b>Total Medicaid</b>	<b>637,448</b>	<b>649,422</b>	<b>▼ 2%</b>	<b>849,905</b>	<b>875,283</b>	<b>25,379</b>
<b>Non-Medicaid</b>						
DDRS Administration	750	932	▼ 20%	1,000	1,242	242
BDDS - Bureau of Devel. Disab. Svc.	18,168	22,278	▼ 18%	23,370	29,704	6,334
BQIS - Bureau of Quality Improv. Svc.	2,008	2,607	▼ 23%	2,680	3,476	796
BRS - Bureau of Rehabilitation Svc.	58,049	65,868	▼ 12%	77,400	87,824	10,424
BCDS - Bureau of Child Devel. Svc.	37,612	41,901	▼ 10%	50,150	55,868	5,718
DDB - Disability Determination Bureau	35,351	37,613	▼ 6%	47,135	50,150	3,015
<b>Total Non-Medicaid</b>	<b>151,939</b>	<b>171,198</b>	<b>▼ 11%</b>	<b>201,735</b>	<b>228,264</b>	<b>26,529</b>
<b>Total Medicaid and Non-Medicaid</b>	<b>789,387</b>	<b>820,620</b>	<b>▼ 4%</b>	<b>1,051,640</b>	<b>1,103,547</b>	<b>51,907</b>

2012 Budgets do not include 2011 encumbrances.

# DDRS Medicaid summary

## Expenditures

### Waiver Services

Autism	1,759	14,729	13,885	(844)
Support Services	3,258	29,035	29,764	729
DD Waiver	38,702	317,831	328,299	10,468

### ICF/MR

Small Group Homes	22,101	200,903	202,498	1,594
Large Private Facilities	1,402	13,744	15,139	1,396

### PCCM/CMO Fees

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### State Plan Services

Other State Plan Services	7,448	61,205	59,833	(1,372)
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### Total - Expenditures

74,670	637,448	649,422	11,974
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## Per Enrollee

### Estimated Enrollees

### Waiver/Inst. Cost per Enrollee/Mo

### Other Cost per Enrollee/Mo

### Total Cost per Enrollee/Mo

16,542	16,382	16,715	334
\$4,064	\$3,908	\$3,919	\$11
\$450	\$415	\$398	(\$17)
\$4,514	\$4,324	\$4,317	(\$7)

Source: Milliman reports as of 03/31/12

# BDDS

## Bureau of Developmental Disabilities Services

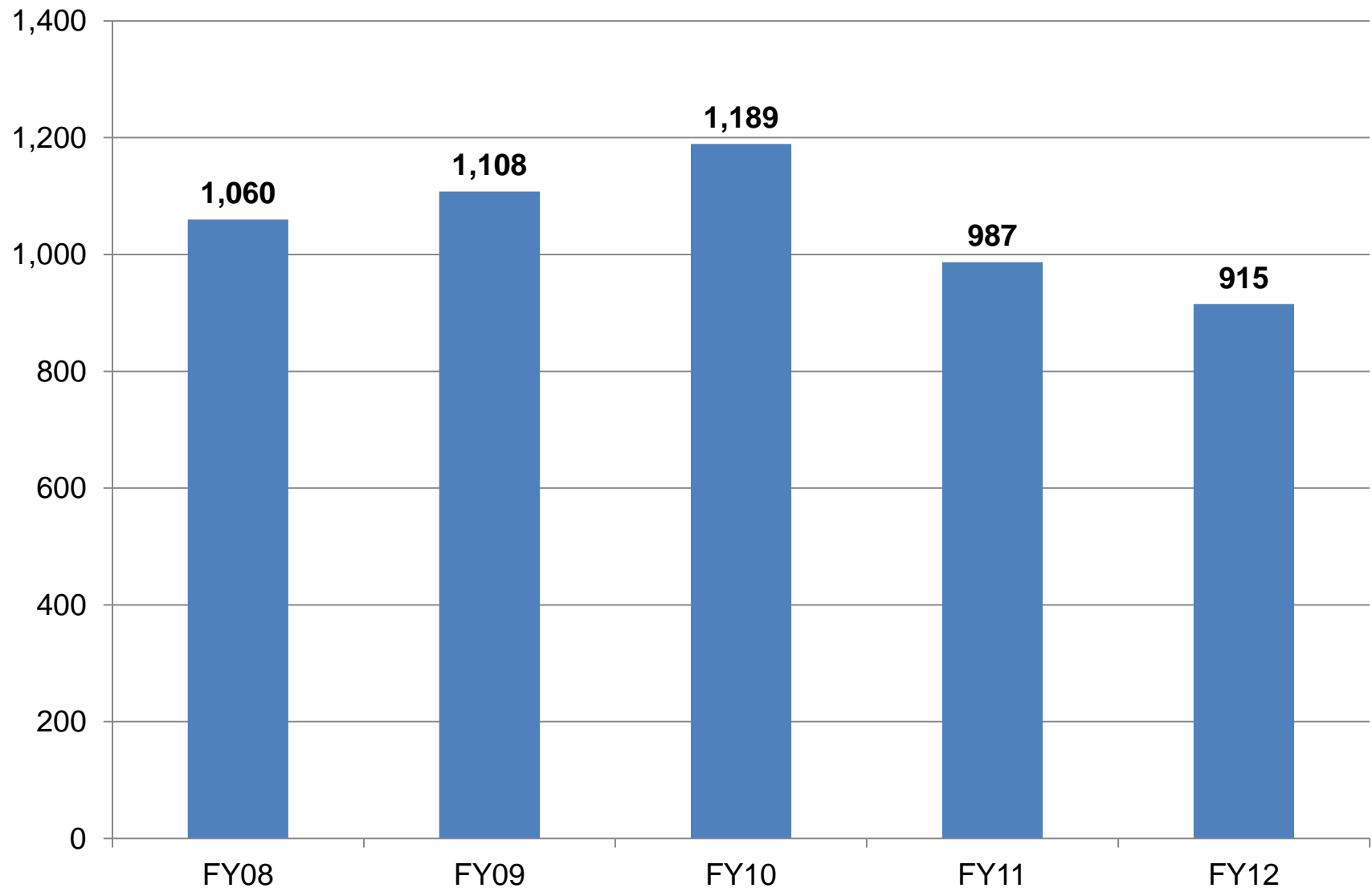
- **Developmental Disability (DD) Eligibility**
- **Caregiver Supports**
- **Group Homes**
- **Medicaid Waivers (Developmental Disabilities, Autism, Support Services)**
- **Employment First**

# BDDS Financial Summary '000

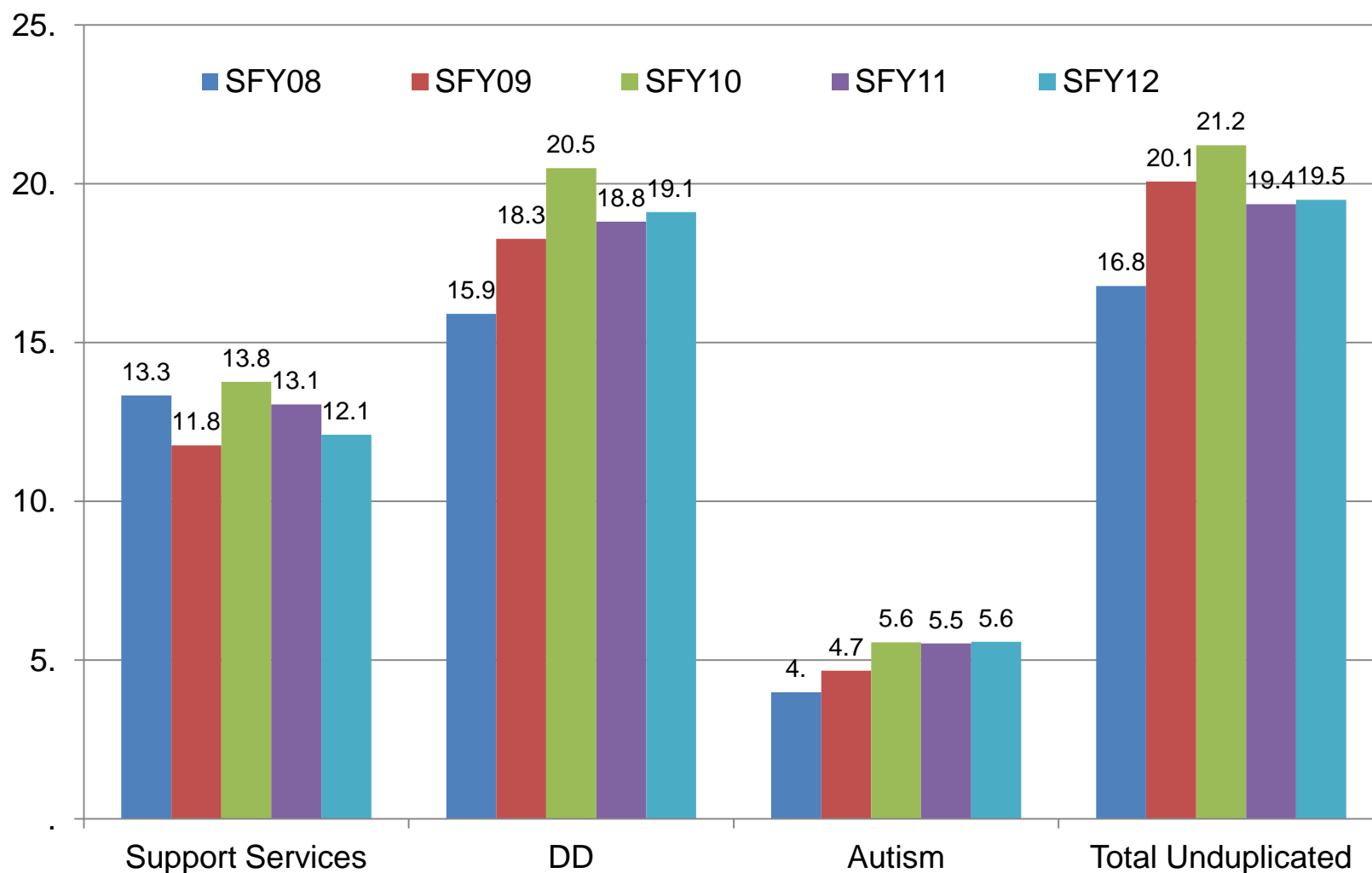
	YTD Actual	YTD Budget	Variance	Forecast SFY 12	Budget SFY12	Variance
<b>BDDS - Bureau of Devel. Disab. Svcs.</b>						
BDDS Operating	6,680	6,966	▼ 4%	8,905	9,288	383
Diagnosis and Evaluation	101	338	▼ 70%	135	450	315
Epilepsy Program	285	338	▼ 15%	380	450	70
Case Management/OASIS	2,590	1,887	▲ -37%	2,600	2,516	-84
Caregiver Support	190	375	▼ 49%	255	500	245
Residential Services	1,202	4,500	▼ 73%	1,600	6,000	4,400
Day Services (State and SSBG)	7,121	7,875	▼ 10%	9,495	10,500	1,005
<b>Total BDDS</b>	<b>18,168</b>	<b>22,278</b>	<b>▼ 18%</b>	<b>23,370</b>	<b>29,704</b>	<b>6,334</b>

2012 Budgets do not include 2011 encumbrances.

# Waiver counts: New consumers



# Waiver counts: Waitlist ('000)

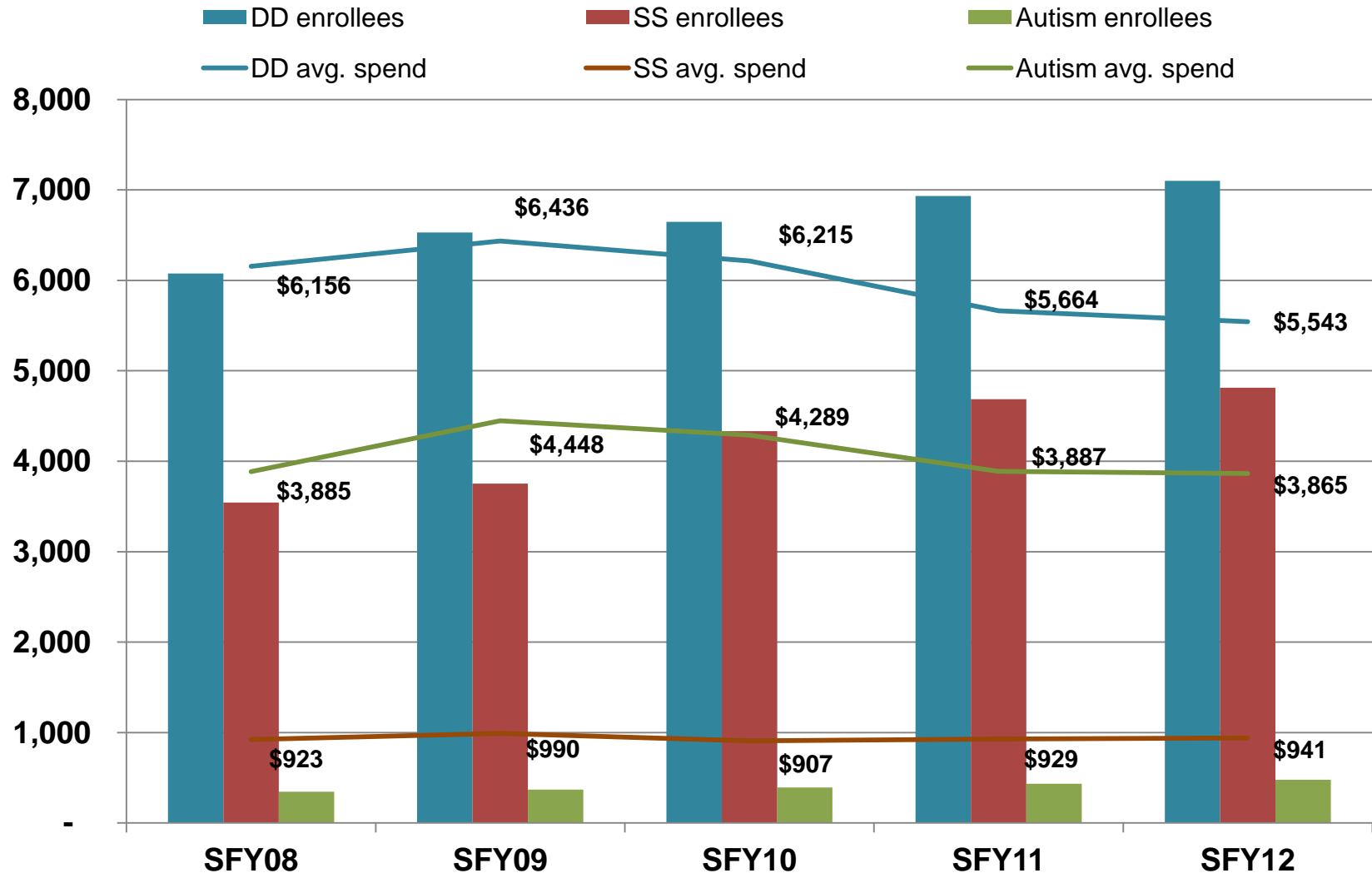


Updated as of 04/30/12

Approximately 85% of individuals on the waiting list are receiving other services, including First Steps, Medicaid, DOE, DCS, etc.

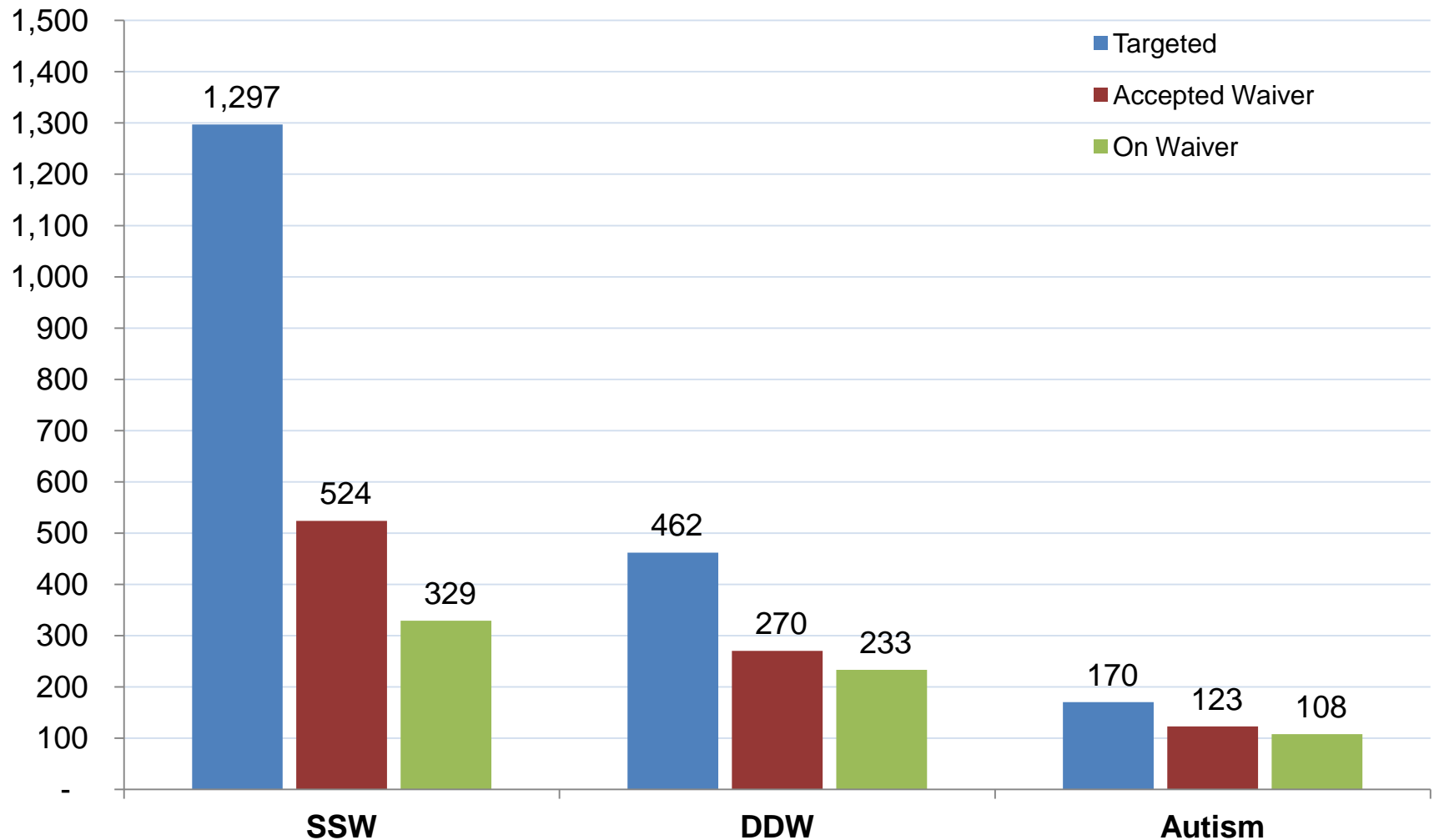


# Waiver counts: Avg. Mo. spend



Source: Milliman reports as of 03/31/12

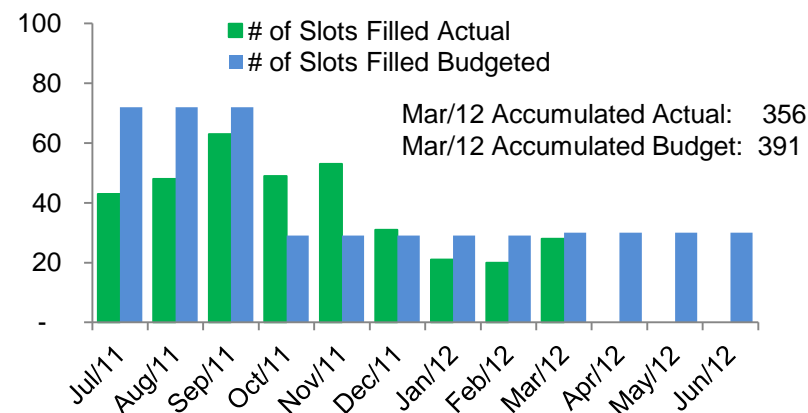
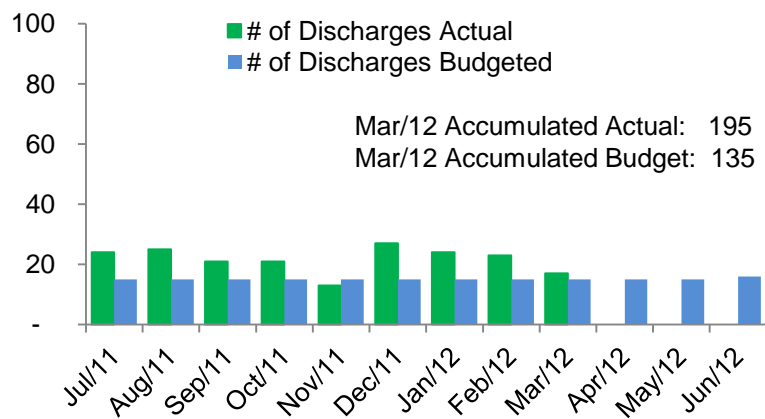
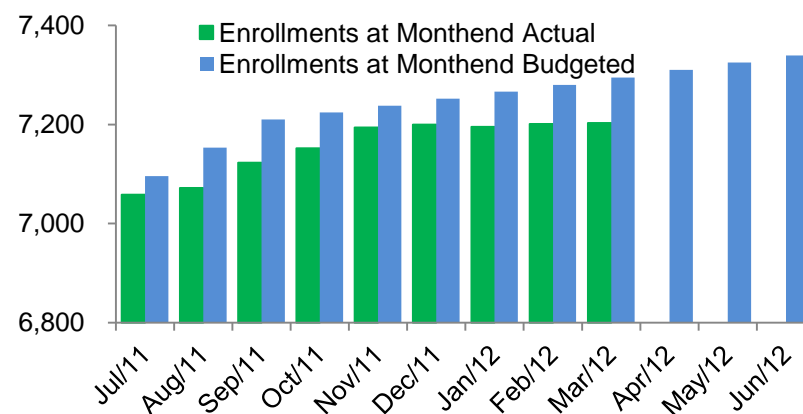
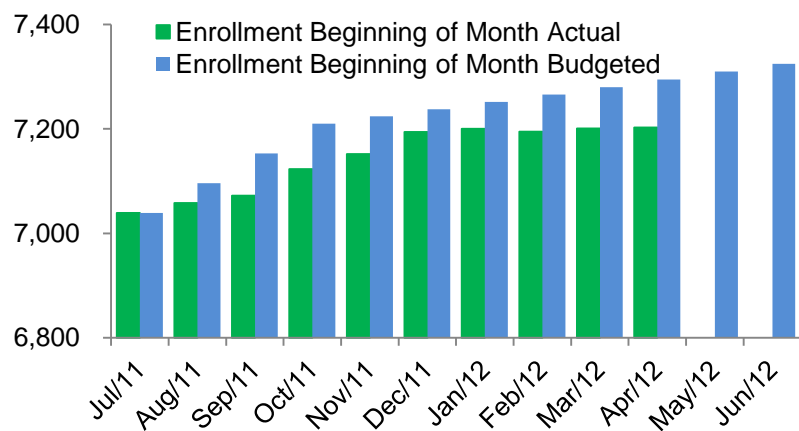
# Waiver counts: Targeted waitlist



Period of Feb/11 – April/12

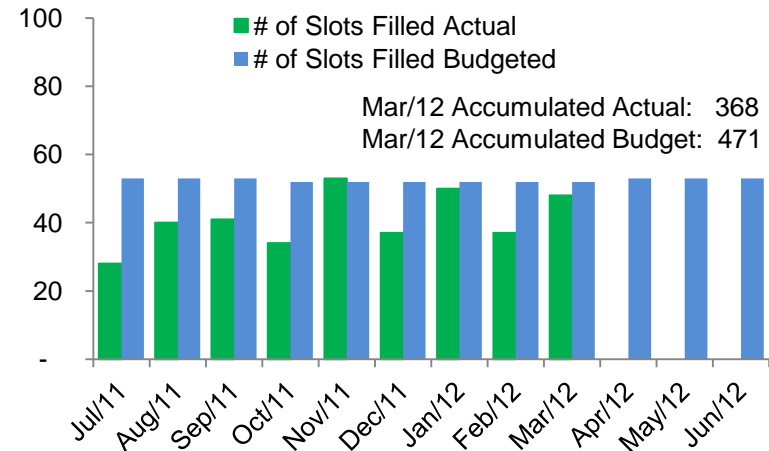
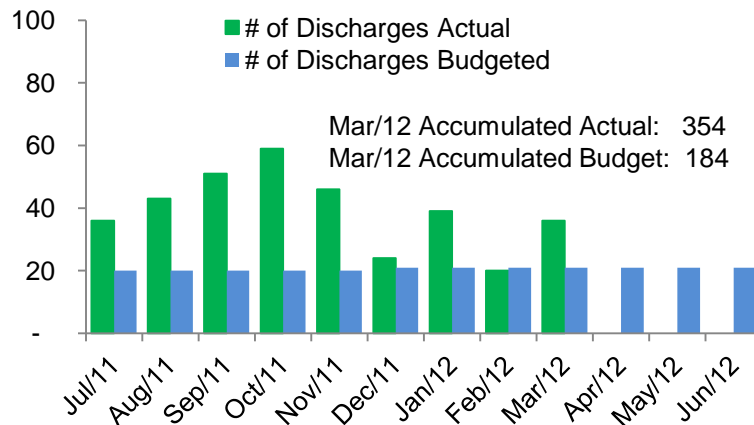
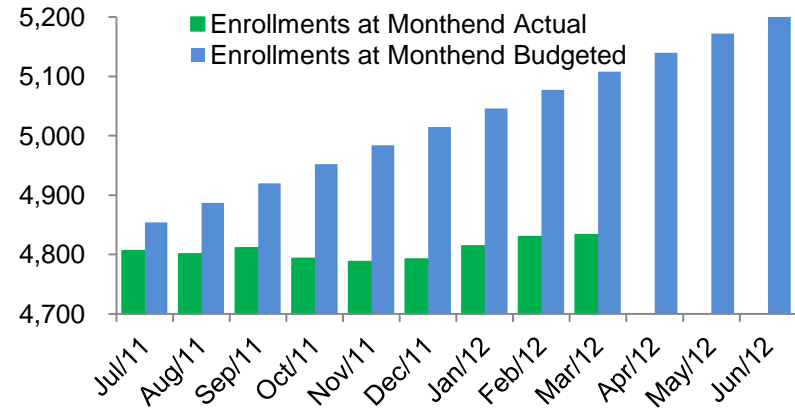
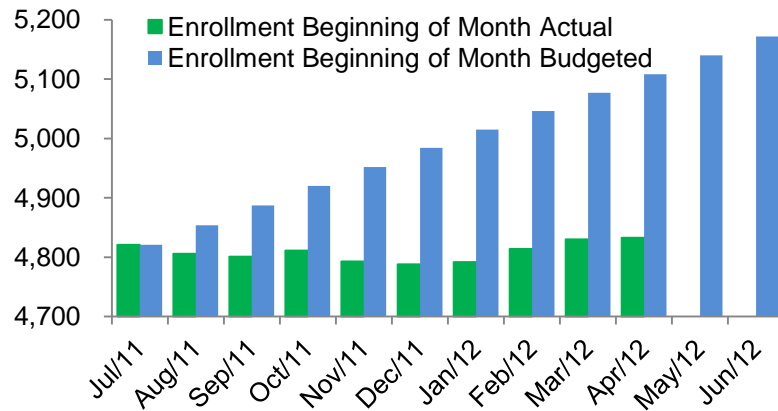
# Waiver counts: DD Waiver

<b>Total Available in Waiver Year (10/01/11 - 09/30/12)</b>	<b>723</b>
<b>Total Filled</b>	<b>232</b>
<b>Total Remaining</b>	<b>491</b>
<b>Months Remaining in Waiver Year</b>	<b>5</b>



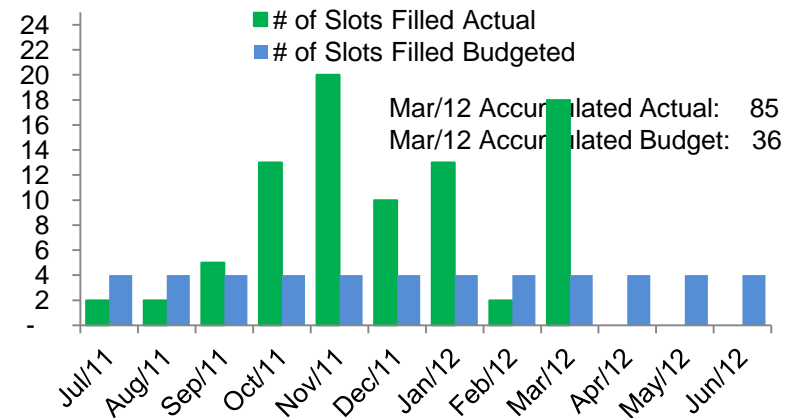
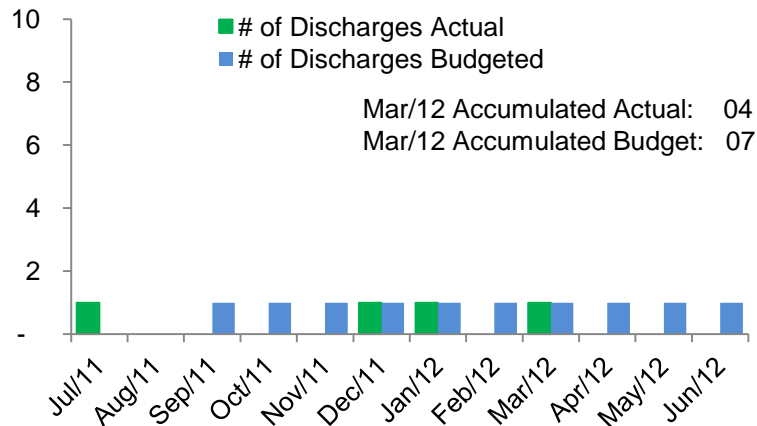
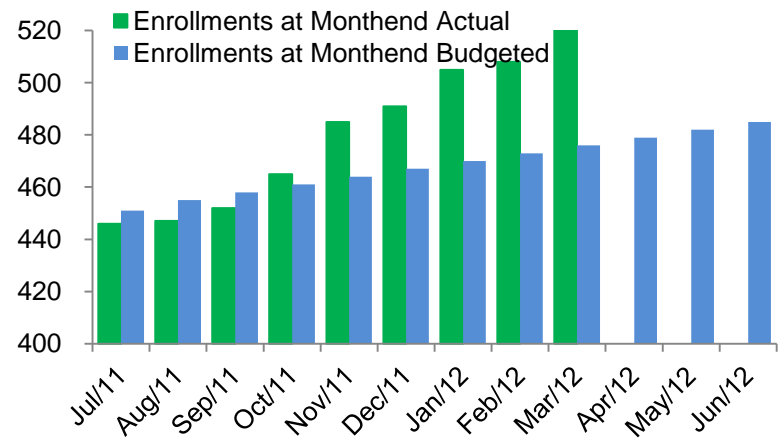
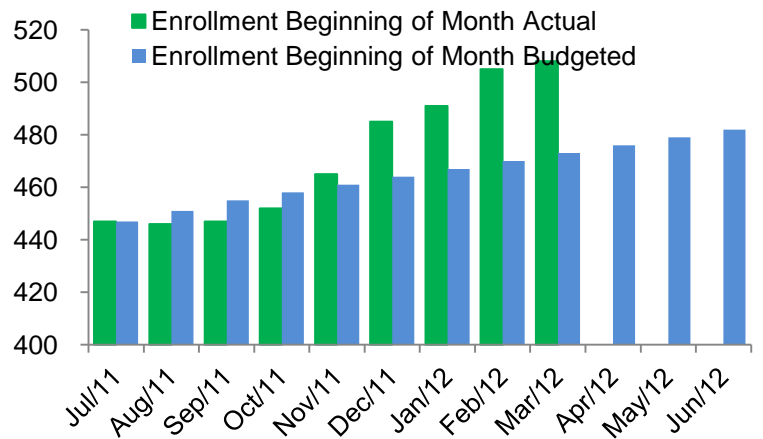
# Waiver counts: SS Waiver

<b>Total Available in Waiver Year (04/01/12 - 03/31/13)</b>	<b>1800</b>
<b>Total Filled</b>	<b>49</b>
<b>Total Remaining</b>	<b>1751</b>
<b>Months Remaining in Waiver Year</b>	<b>11</b>



# Waiver counts: Autism Waiver

<b>Total Available in Waiver Year (01/01/12 - 12/31/12)</b>	<b>109</b>
<b>Total Filled</b>	<b>47</b>
<b>Total Remaining</b>	<b>62</b>
<b>Months Remaining in Waiver Year</b>	<b>8</b>



# DDRS/DCS/OMPP MOU

- Executed on November 16, 2010
- In SFY 2010, DDRS served 104 “DCS individuals” at an approximate cost of \$6 million
- Quarters billed by OMPP to DCS as follows:

Period	SFY 2011	SFY 2012
Q1 Jul-Sep:	\$281,965	\$590,775
Q2 Oct-Dec:	\$307,119	\$632,597
Q3 Jan-Mar:	\$372,948	\$735,025
Q4 Apr-Jun:	\$427,120	
Accumulated	\$1,389,152	\$1,958,397
Recipient count:	110 as of 06/2011	155 as of 03/2012

# Objective Based Allocations (OBA)

4,098 Allocations have been released for Jan-Jun/2012.

	2011	2012 YTD
OBA Decreased	4,235	437
OBA Increased	3,126	346
OBA Remain the same	117	3,315
<b>TOTAL</b>	<b>7,478</b>	<b>4,098</b>

Appeals scheduled April 2011-March 31, 2012 (574):

- 71% Voluntarily withdrawn
- 5% Awaiting a decision by ALJ
- 0% Awaiting Agency Review
- 1% Continued
- 23% State favored
- 1% Appellant favored

# Systematic Redesign

- Equal assessments: As of April 2012, all individuals entering into BDDS services have had objective assessments performed to ensure the most appropriate services are provided to them.
  - The Inventory for Client & Agency Planning (ICAP) assessment has also begun for current group home residents by District; currently in District 2.
  - A new rate setting structure, based on these assessments, is being developed.
  - Group home providers will be given the opportunity to voluntarily transition group homes into Waiver homes. Already, there have been providers seeking to transition.
- Reconstruction of the current SS Waiver program will add a residential-type service, change capped amount available, and add case management as a service. The amendment was submitted to CMS on April 16, 2012. Pending CMS' approval, DDRS plans to implement this reconstructed Waiver, effective July 1, 2012.
- Enhanced service definition with affixed higher rate that will enable pre-qualified providers to serve high-cost, high-needs individuals with care performed by higher-qualified staff. Currently in development.
- Quality & Outcome Based Provider Reimbursement Methodology is in development. Indicators by which providers will be measured have been established.





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# BQIS

## Bureau of Quality Improvement Services

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- **Quality Monitoring**

# BQIS Financial Summary '000

	YTD Actual	YTD Budget	Variance	Forecast SFY 12	Budget SFY12	Variance
<b>BQIS - Bureau of Quality Improv. Svcs.</b>						
Bureau of Quality Improvement Svcs.	2,008	2,607	▼ 23%	2,680	3,476	796
<b>Total BQIS</b>	<b>2,008</b>	<b>2,607</b>	<b>▼ 23%</b>	<b>2,680</b>	<b>3,476</b>	<b>796</b>

- Decreased initial response times to complaints by 50%. Since Feb 1, BQIS is responding to urgent and critical complaints within 2-3 days, 100% of the time.
- Developed methodology for aggregating provider performance data to make provider re-approval determinations. This takes into account several factors including, the provider's compliance review, numbers of substantiated complaint and incident reports, and the provider's timeliness in responding to both.
- As part of the provider re-approval process, established process for following-up with providers who have identified extreme or high risk trends that make individuals at risk for negative outcomes.
- Revamped mortality review process to conduct more timely onsite reviews when the same risks may be present for other individuals receiving services from the same provider.



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# BRS

## Bureau of Rehabilitation Services

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- **Vocational Rehabilitation Services (VRS)**
- **Blind & Visually Impaired Services (BVIS)**
- **Deaf & Hard of Hearing Services (DHHS)**
- **Independent Living Services**

# BRS Financial Summary '000

	YTD Actual	YTD Budget	Variance	Forecast SFY 12	Budget SFY12	Variance
<b>BRS - Bureau of Rehabilitation Svcs.</b>						
Vocational Rehabilitation Operating / VR	52,513	60,675	▼ 13%	70,015	80,900	10,885
Assistive Technology	393	461	▼ 15%	525	615	90
Aid to Indep Living Program + CILS	1,720	1,309	▲ -31%	2,295	1,745	-550
Supported Employment	514	389	▲ -32%	685	518	-167
VR Employee Training	37	46	▼ 19%	50	61	11
VR Traumatic Brain Injury	257	199	▲ -30%	345	265	-80
Medicaid Infrastructure Grant	758	1,050	▼ 28%	1,010	1,400	390
Blind and Visually Impaired Svcs.	1,694	1,502	▲ -13%	2,260	2,003	-257
Deaf and Hard of Hearing Svcs.	163	238	▼ 31%	215	317	102
<b>Total BRS</b>	<b>58,049</b>	<b>65,868</b>	<b>▼ 12%</b>	<b>77,400</b>	<b>87,824</b>	<b>10,424</b>

2012 Budgets do not include 2011 encumbrances.

# BRS Indicators - Comparison

INDICATOR	10/1/10 – 03/31/11	10/1/11 – 03/31/12
1.1: Total Rehabilitations Equal to/Greater Than Previous Year	2,204	2,528
1.2: Rehabilitation Rate (55.8%)	54.34%	59.15%
1.3: Competitive Employment Outcomes (72.6%)	97.41%	97.47%
1.4: Percentage of People (Competitively Placed) With Significant Disability (62.4%)	77.32%	76.58%
1.5: Ratio of Ave. Hourly Wage (Competitive Rehabilitants) to Ave. Hourly Wage (All Employed Hoosiers) (.52)	.61 (\$11.19/\$18.46)	.590 (\$11.36/\$19.17)
1.6: Own Income Largest Source of Support at Close Compared to When Started Services (53.0)	48.63	51.50
2.1: Service Rate for Minorities (.80 – Ratio)	.770	.81

# BRS Statistics

## Customer Information (10/1/11 to 03/31/12)

Applicants		New Plans	Successful Closures	Avg Hourly Wage	Avg Weekly Hours	Total FFY Wages	
All VRS Customers		6,949	3,734	2,449	\$12.07	29.61	\$22,756,622.78
subset:	Developmental Disability	412	497	341	\$8.25	21.87	\$1,599,670.22
	DD as % of Total	5.9%	13.3%	13.9%	68.4%	73.9%	7.0%

Total FFY Wages = Avg Hourly Wage \* Avg Weekly Hours \* 26 weeks \* Successful Closures

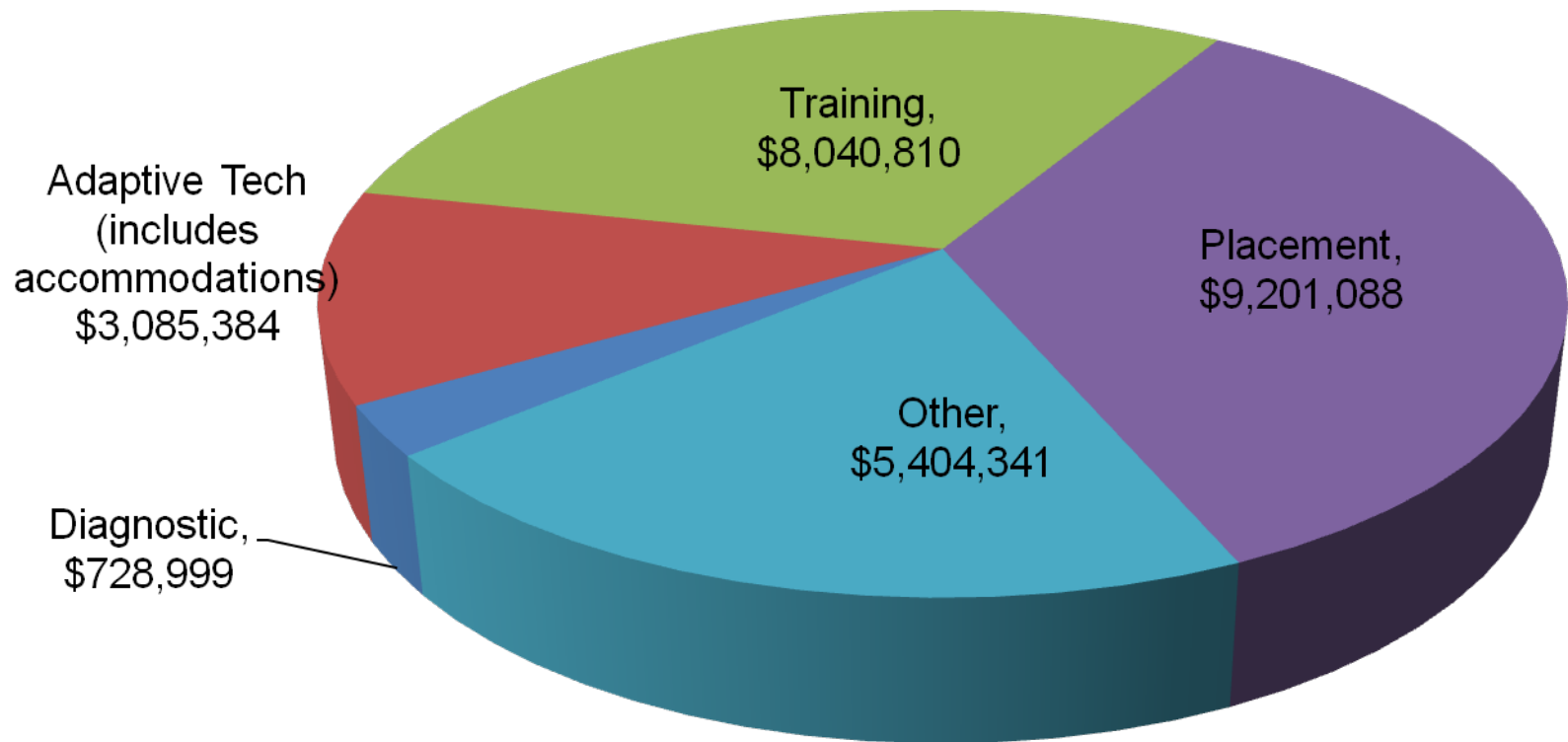
## Revenue Generating

Proposal	Timeline	Additional Revenue	Status
TicketTracker for Ticket to Work	Live 3/16/2011	Expect \$1M increase over historic totals	\$2,283,789.48 received for FFY12 \$1,935,009.20 received for FFY11 \$937,751.61 received for FFY10

# Vocational Rehabilitation Spending

## Client Services Only:

**FFY12 Spends through Q2 = \$26,460,623**



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# BCDS

## Bureau of Child Development Services / First Steps

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- ***Early Intervention (birth to age 3) including:***
  - Family Education and Training
  - Therapies and Social Work Services
  - Assistive Technology



# BCDS Financial Summary '000

	YTD Actual	YTD Budget	Variance	Forecast SFY 12	Budget SFY12	Variance
<b>BCDS - Bureau of Child Devel. Svcs.</b>						
Federal Early Intervention / First Steps	37,612	41,901	▼10%	50,150	55,868	5,718
<b>Total BCDS</b>	<b>37,612</b>	<b>41,901</b>	<b>▼10%</b>	<b>50,150</b>	<b>55,868</b>	<b>5,718</b>
<b>BCDS - Revenue</b>						
TANF MOE - State	6,776	11,250	-40%	15,000	15,000	0
Federal Funds - Part C	8,608	6,456	33%	8,608	8,608	0
Medicaid Assistance	4,021	5,250	-23%	5,361	7,000	1,639
State Funds	6,150	4,612	33%	6,150	6,150	0
Medicaid Admin.	3,848	3,750	3%	5,131	5,000	-131
TPL	2,607	2,850	-9%	3,476	3,800	324
TANF - Federal	1,415	2,400	-41%	3,200	3,200	0
SSBG - Federal	1,106	750	47%	1,106	1,000	-106
Cost Participation	547	488	12%	729	650	-79
Audit Recovery	19	53	-63%	26	70	44
<b>Total BCDS Revenue</b>	<b>35,096</b>	<b>37,858</b>	<b>-7%</b>	<b>48,786</b>	<b>50,478</b>	<b>1,692</b>

2012 Budgets do not include 2011 encumbrances.

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# **DDB**

## **Disability Determination Bureau**

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- **Medical adjudication for the Social Security Administration (SSA) of Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) disability claims for citizens of Indiana**

# DDB Financial Summary '000

	YTD Actual	YTD Budget	Variance	Forecast SFY 12	Budget SFY12	Variance
<b>DDB - Disability Determination Bureau</b>						
SSI Disability Determination - Shadeland	35,351	37,613	▼6%	47,135	50,150	3,015
<b>Total DDB</b>	<b>35,351</b>	<b>37,613</b>	<b>▼6%</b>	<b>47,135</b>	<b>50,150</b>	<b>3,015</b>

2012 Budgets do not include 2011 encumbrances.



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# Division of Disability & Rehabilitative Services

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## ***SFY 2012 Quarterly Financial Review - March 2012***

Shane Spotts, DDRS Director

May 11th, 2012